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Remarks

Claims 1-25 are pending in the present application with claims 1-5 and 8-25 withdrawn from consideration as being drawn to a non-elected invention. Applicants reserve the right to prosecute the originally filed, broader, and/or similar claims in one or more additional applications. With this amendment, Claims 6 and 7 are amended. No claims are being canceled or newly added. Thus after entry of this Amendment Claims 6 and 7 are under consideration. The amendments and the various rejections raised in the Office Action are discussed in more detail below.

Amendments of Claims

Claim 6 has been amended to delete the non-elected residues, to italicize *H. jecorina*, and insert the SEQ ID NO:1 Identifier. Claim 7 has been amended to add the term further. No new matter is added by the amendments. Applicants reserve the right file subsequent applications claiming the non-elected subject matter and do not waive any of their rights or abandon any non-elected subject matter.

Rejection under 35 C.F.R. §112, second paragraph: Indefiniteness

Claim 6 stands rejected under 35 C.F.R. §112, second paragraph as being allegedly indefinite. Applicants traverse the rejection.

The Patent Office contends that 1.) the recitation of "one or more of the residues" is confusing, 2.) the claim does not meet the requirements of 35 C.F.R. §1.821(d) that requires the sequences be referred to by SEQ ID NO. and 3) "*H. jecorina*" should be italicized. (See, Office Action, page 4). In order to further the prosecution of the present application and Applicants' business interest, yet with out acquiescing to the Patent Office's argument, Applicants have amended Claim 6. Applicants respectfully submit that the Claim 6 is definite and request that the rejection under 35 C.F.R. §112, second paragraph be withdrawn.

Rejection under 35 C.F.R. §112 first paragraph: enablement

Claims 6 and 7 stand rejected under 35 C.F.R. §112, first paragraph as allegedly failing to comply with the enablement requirement. Applicants traverse the rejection.

According to the MPEP Section 2164.04, in order to make a rejection, the Patent Office has the initial burden to establish a reasonable basis to question the enablement

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provided for the claimed invention. *In re Wright*, 999 F.2d 1557, 1562, 27 USPQ2d 1510, 1513 (Fed. Cir. 1993). A specification disclosure which contains a teaching of the manner and process of making and using an invention in terms which correspond in scope to those used in describing and defining the subject matter sought to be patented must be taken as being in compliance with the enablement requirement of 35 U.S.C. §112, first paragraph, unless there is a reason to doubt the objective truth of the statements contained therein which must be relied on for enabling support. (See, MPEP Section 2164.04).

The Patent Office asserts that none of the sequences in Figure 2 show just one of the changes in claim 6 in combination with any or all of the changes in claim 7 and none of the sequences in the figure show a deletion of one of the residues in claim 6. (See, Office Action, page 3). The Patent Office contends that it well know that the effects of different changes in an amino acid sequence can not be predicted with any certainty and therefore applicant must show that any and all changes in the instant claims will produce a protein with activity. This has not been done and therefore it is maintained that undue experimentation would be required to produce active proteins with the claimed changes. (See, Office Action, page 3). Applicants respectfully disagree.

Claim 6, as amended, recites a variant CBH1 cellulase, wherein said variant comprises a substitution or deletion at a position corresponding to T66 of the mature *H. jecorina* CBH1 protein (SEQ ID NO. 1). Dependent Claim 7 is directed to variant CBH1 cellulase according to Claim 6, wherein said variant comprises a substitution at a position corresponding to a residue selected from the group consisting of Q186(E), S195(A/F), E239S, G242(H/Y/N/S/T/D/A) and P412(T/S/A).

As taught in the specification, Applicants have identified possible sites involved in the stability of the CBH1 enzyme in three different ways based on alignment of the sequences of the homologs with CBH1. In the first method, sites that differed between the *H. jecorina* CBH1 catalytic domain and the catalytic domain of at least one of the homologs of lower stability (*i.e.*, excluding only *H. orientalis*) were identified as possible sites involved in the thermostability of CBH1. The sites identified included, *inter alia*, the sites presently claimed in Claim 6 (T66) and Claim 7 (Q186, S195, E239, G242, P412) in CBH1 from *H. jecorina*. In the second method, sites where the residue in *H. jecorina*

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or *H. orientalis* is the same as that found in all of the decreased stability enzyme homologs resulted in the identification of sites that lacked correlation with T_m . Again, the specification describes that the sites identified as retaining relevance with stability included, *inter alia*, the sites presently claimed in Claim 6 (T66) and Claim 7 (Q186, S195, E239, G242, P412) in CBH1 from *H. jecorina*. In the third method described in the specification, sites where *H. jecorina* and *H. orientalis* are the same, with the corresponding residue in *H. schweinitzii* being either the same or different as in either of these two, but a different amino acid in the corresponding site of either *T. konilangbra* or *T. pseudokoningii* were considered as possible sites involved in thermostability of the enzyme. The sites identified included, *inter alia*, the sites presently claimed in Claim 7 (Q186, S195, and P412) in CBH1 from *Hypocrea jecorina*. Finally, the specification teaches the variant CBH1 polypeptides comprise a substitution or deletion at a position corresponding to one or more of residues, including, *inter alia*, the sites presently claimed in Claim 6 (T66) and Claim 7 (Q186, S195, E239, G242, P412) (See, specification, paragraph [0208]).

Applicants assert that a specification which contains a teaching of the manner and process of making and using an invention in terms which correspond in scope to those used in describing and defining the subject matter sought to be patented must be taken as being in compliance with the enablement requirement of under 35 C.F.R. §1.12 first paragraph, unless there is a reason to doubt the objective truth of the statements contained therein which must be relied on for enabling support. (See, MPEP, Section 2164.04). In light of the above, Applicants submit that a sufficient reason for such doubt does not exist, and the rejection for failure to teach how to make and/or use is improper. Given the scope of the claims and the guidance in the specification, Applicants submit that the Patent Office has failed to establish a *prima facie* case of nonenablement.

In addition, Applicants assert that a person of ordinary skill in the art reading Applicants specification at the time it was filed would have been able to make and use the presently claimed invention. This is particularly true given the level of skill in the art and the teaching of Applicants' specification. For example, Figure 2 of Applicants specification provides an alignment of 5 CBH1 homologs. The alignment indicates which regions are conserved. As described above, Applicants have identified possible

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sites involved in the stability of the CBH1 enzyme in three different ways based on alignment of the sequences of the homologs with CBH1. A person of ordinary skill in the art would readily have been able use standard methods to make the cellulases containing the substitutions or deletions at the claimed sites, particularly since the examples of such methods are set forth in Applicants specification.

Finally, the Patent Office maintains that undue experimentation would be required to produce active proteins with the claimed changes. (See, Office Action, page 3). Applicants respectfully remind the Patent Office that compliance with the enablement requirement of 35 U.S.C. 112, first paragraph does not turn on whether an example is disclosed. In fact, the specification need not contain an example if the invention is otherwise disclosed in such manner that one skilled in the art will be able to practice it without an undue amount of experimentation. *In re Borkowski*, 422 F.2d 904, 164 USPQ 642 (CCPA 1970). Because only an enabling disclosure is required, applicant need not describe all actual embodiments. (See, MPEP, Section 2164.02). Although the variants would need to be assayed for activity, the present Specification provides the means to conduct the assay. (See, Specification, paragraph [0283], and Example 2 paragraphs [0343]-[0344]. Simply requiring additional testing (the methods for which are provided in the Specification itself, does not render the Claims non-enabled. There is indeed an expectation of success, through the use of the assay methods provided in the Specification. Indeed the present specification explicitly teaches the variant CBH1 polypeptides comprise a substitution or deletion at a position corresponding to one or more of residues, including, *inter alia*, the sites presently claimed in Claim 6 (T66) and Claim 7 (Q186, S195, E239, G242, P412) (See, specification, paragraph [0208]) and teaches how to assess the activity of the enzyme. In light of the above, Applicants respectfully request withdrawal of the rejection of Claims 6 and 7, under 35 U.S.C. 112§, first paragraph, enablement.

Rejection under 35 C.F.R. §112, first paragraph: written description.

Claims 6 and 7 stand rejected under 35 C.F.R. §112, first paragraph as allegedly failing to comply with the written description requirement. Applicants traverse the rejection.

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Specifically, the Patent Office alleges that Applicant has apparently not made and analyzed all of the mutant CBH1 enzymes of the instant claims and therefore it is maintained that at the time of filing one skilled in the art would not conclude that applicant had possession of the claimed subject matter. Applicants respectfully disagree.

Under *Vas-Cath, Inc. v. Mahurkar*, 935 F.2d 1555, 1563-64, (Fed. Cir. 1991), to satisfy the written description requirement, an applicant must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of the invention, and that the invention, in that context, is whatever is now claimed. Possession of the claimed invention may be shown in a variety of ways including description of an actual reduction to practice, or by showing that the invention was "ready for patenting" such as by the disclosure of drawings or structural chemical formulas that show that the invention was complete, or by describing distinguishing identifying characteristics sufficient to show that the applicant was in possession of the claimed invention. (See, MPEP 2163.02, citing *Pfaff v. Wells Elecs., Inc.*, 525 U.S. 55, 68, (1998); *Regents of the University of California v. Eli Lilly*, 119 F.3d 1559, 1568 (Fed. Cir. 1997); *Amgen, Inc. v. Chugai Pharmaceutical*, 927 F.2d 1200, 1206, 1021 (Fed. Cir. 1991) (one must define a compound by "whatever characteristics sufficiently distinguish it").

Applicants respectfully submit that variant CBH1 cellulases comprising a substitution or deletion at the claimed positions of the mature *H. jecorina* CBH1 protein is supported by the specification as filed. In particular, the specification teaches the variant CBH1 polypeptides comprise a substitution or deletion at a position corresponding to one or more of residues, including, *inter alia*, the sites presently claimed in Claim 6 (T66) and Claim 7 (Q186, S195, E239, G242, P412) (See, specification, paragraph [0208]). Simply because the claims arguably encompass a large number of variants, is not a proper ground for rejection, as the present specification provides the teaching necessary to obtain and assay the claimed CBH1 variants.

In light of the above remarks, Applicants respectfully request withdrawal of the rejection Claims 6 and 7, under 35 U.S.C. 112§, 112, first paragraph, written description.

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Rejections Under 35 U.S.C. § 102(b)

Claim 6 stands rejected under 35 U.S.C. § 102(b) as allegedly being anticipated by either of von der Osten, *et al.*, Schulein, *et al.*, Miettinen-Oinonen, *et al.*, or Lund *et al.* Applicants traverse the rejections.

Anticipation of a claim is met "only if each and every element as set forth in the claim is found, either expressly or inherently described, in a single prior art reference." *Verdegaal Bros. v. Union Oil Co. of California*, 814 F.2d 628, 631 (Fed. Cir. 1987); see also MPEP § 2131.02 ("The identical invention must be shown in as complete detail as is contained in the ... claim." *Richardson v. Suzuki Motor Co.*, 868 F.2d 1226 (Fed. Cir. 1989)).

The Patent Office alleges that von der Osten, *et al.*, as shown in sequence search (V), has a T66 substituted with S in SEQ ID NO:1. Applicants respectfully disagree. SEQ ID NO:1 in von der Osten *et al.* is a endoglucanase from *Myceliophthora thermophila* (see, von der Osten, U.S. Patent No. 5,912,157, col. 11, lines 14-15) and the sequence alignment provided by the Patent Office indicates only a 28% query match. SEQ ID NO:1 in von der Osten *et al.* is not a variant CBH1 cellulase. Thus, in contrast to von der Osten *et al.*, the instant Claim 6 is directed to a variant CBH1 cellulase, wherein said variant comprises a substitution or deletion at a position corresponding T66 of the mature *H. jecorina* CBH1 protein (SEQ ID NO: 1). As such, von der Osten, *et al.* does not disclose each and every element of Claim 6, as required to anticipate the claim. Accordingly, reconsideration and withdrawal of the rejection of Claim 6, under 35 U.S.C. § 102(b) is respectfully requested.

The Patent Office alleges that Schulein, *et al.*, as shown in sequence search (W), has a T66 substituted with S and T66 deletion in SEQ ID NO:11. Applicants respectfully disagree. SEQ. ID. NO: 11 in Schulein, *et al.*, is directed to the amino acid sequence of an endoglucanase from *Myceliophthora thermophila* (SEQ ID NO:11) (see, Schulein, *et al.*, U.S. Patent No. 6,117,664, col. 9, lines 18-20) and the sequence alignment provided by the Patent Office indicates only a 27.8% and 27.4% query match. SEQ ID NO:11 in von der Schulein *et al.* is not a variant CBH1 cellulase. Thus, in contrast to Schulein, *et al.*, the instant claim 6 is directed to a variant CBH1 cellulase, wherein said variant comprises a substitution or deletion at a position corresponding T66 of the mature *H. jecorina* CBH1 protein (SEQ ID NO: 1). As such, Schulein, *et al.* does

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not disclose each and every element of Claim 6, as required to anticipate the claim. Accordingly, reconsideration and withdrawal of the rejection of Claim 6, under 35 U.S.C. § 102(b) is respectfully requested.

The Patent Office alleges that Miettinen-Oinonen, *et al.* as shown in sequence search (X), has a T66 substituted in SEQ ID NO:33 and deleted in SEQ ID NO: 35. Applicants respectfully disagree. SEQ ID NOs: 33 and 35 in Schulein, *et al.*, is directed to the amino acid sequence of *Melanocarpus albomyces* (SEQ ID NO:11) (see, Miettinen-Oinonen, *et al.* U.S. Patent No. 6,184,019) and the sequence alignment provided by the Patent Office indicates only a 27.6%, 44.5% and 44.6% query match. SEQ ID NO:33 and 35 in Miettinen-Oinonen, *et al.* are not variant CBH1 cellulases. Thus, in contrast to Miettinen-Oinonen *et al.*, the instant claim 6 is directed to a variant CBH1 cellulase, wherein said variant comprises a substitution or deletion at a position corresponding T66 of the mature *H. jecorina* CBH1 protein (SEQ ID NO: 1). As such, Miettinen-Oinonen, *et al.* does not disclose each and every element of Claim 6, as required to anticipate the claim. Accordingly, reconsideration and withdrawal of the rejection of Claim 6, under 35 U.S.C. § 102(b) is respectfully requested.

The Patent Office alleges that Lund, *et al.* as shown in sequence search (U-1), has a T66 substituted with S in SEQ ID NOs:1-3. Applicants respectfully disagree. SEQ ID NO:1-3 in Lund, *et al.* are directed to the amino acid sequence of *Humicola insolens*. (see, Lund, *et al.* U.S. Patent No. 6,261,828) and the sequence alignment provided by the Patent Office indicates only a 27.0%, 27.4% and 26.9% query match. SEQ ID NOs:1-3 in Lund, *et al.* are not variant CBH1 cellulases. Thus, in contrast to Lund *et al.*, the instant claim 6 is directed to a variant CBH1 cellulase, wherein said variant comprises a substitution or deletion at a position corresponding to T66 of the mature *H. jecorina* CBH1 protein (SEQ ID NO. 1). As such, Lund, *et al.* does not disclose each and every element of Claim 6, as required to anticipate the claim. Accordingly, reconsideration and withdrawal of the rejection of Claim 6, under 35 U.S.C. § 102(b) is respectfully requested.

Claims 6 and 7 stand rejected under 35 U.S.C. § 102(b) as allegedly being anticipated by either Radford, *et al.* Applicants traverse the rejection.

The Patent Office alleges that Radford, *et al.* as shown in sequence search (U), has T66 deleted in SEQ ID NO:3, T66 substituted with S and G242 substituted with S in

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SEQ ID NO:2, and T66 substituted with S and G242 substituted with S in SEQ ID NO:3. Applicants respectfully disagree. SEQ ID NO:3 in Radford, *et al.* is directed to the amino acid sequence of *H. grisea*, and SEQ ID NO:2 is directed to the amino acid sequence of *Neurospora crassa* (see, Radford, *et al.* U.S. Patent No. 5,955,270) and the sequence alignments provided by the Patent Office indicates only a 60.1%, 57.0% and 60.5% query match. SEQ ID NOs:2-3 in Radford, *et al.* are not variant CBH1 cellulases. Thus, in contrast to Radford *et al.*, the instant claims 6 and 7 are directed to a variant CBH1 cellulase, wherein said variant comprises a substitution or deletion at a position corresponding to T66 of the mature *H. jecorina* CBH1 protein (SEQ ID NO. 1). As such, Radford, *et al.* does not disclose each and every element of Claim 6 and Claim 7, as required to anticipate the claims. Accordingly, reconsideration and withdrawal of the rejection of Claims 6 and Claim 7, under 35 U.S.C. § 102(b) is respectfully requested.

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Conclusion

In light of the foregoing amendments, as well as the above remarks, Applicants believe the pending claims are in condition of allowance and issuance of a Notice of Allowance is respectfully requested. If a telephone conference would expedite prosecution of the application, the Examiner is invited to telephone the undersigned at (650) 846-7614.

Respectfully submitted,

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